

Speaker Profiles



Dean Lei Lei

**Professor & Dean
Rutgers Business School**

Dean Lei Lei received her Ph.D. in Industrial Engineering from the University of Wisconsin (Madison) with a minor in Computer Sciences. She has served as the dissertation advisor for many Ph.D. students, co-guest editor for Annals of Operations Research, associate editor of IIE Transactions, and Naval Research Logistics. She was a member of the review board of Journal of Supply Chain Management and the review panel of the National Science Foundation. Her research expertise includes supply chain network design and optimization, operations planning, scheduling and process recovery after disruptions, demand-supply planning, and resource allocation

optimization.



Dr. Miklos Vasarhelyi

**Director, Rutgers Accounting Research Center & Continuous
Auditing & Reporting Lab KPMG Distinguished Professor of Accounting Information
Systems
Rutgers Business School**

Professor Miklos A. Vasarhelyi is the KPMG Distinguished Professor of Accounting Information Systems and serves as Director of the Rutgers Accounting Research Center (RARC) & Continuous Auditing & Reporting Lab (CAR Lab). He is credited for developing the original continuous audit application and is the leading researcher in this field. At Rutgers Business School, Professor Vasarhelyi heads the Continuous

Auditing and Reporting Laboratory, which is working on projects for such leading companies as Siemens, KPMG, Procter & Gamble, D&B, AICPA, CA Technologies, Workiva, Morgan Stanley, and Brazil's Itau-Unibanco.

Vasarhelyi, who received his Ph.D. in Management Information Systems from UCLA, has published more than 200 journal articles, 20 books, and directed over 40 Ph.D. theses. He is the editor of the Artificial Intelligence in Accounting and Auditing series and the Journal of Emerging Technologies in Accounting (JETA). He has been named the Outstanding Accounting educator by the AAA in 2013. The professor also has taught executive programs on AIS, audit automation, continuous audit, and electronic commerce to many large international organizations.



Dr. Michael Alles

**Professor, Accounting Information Systems Department
Rutgers Business School**

Dr. Michael Alles is a Professor at the Department of Accounting and Information Systems at Rutgers Business School. Prior to Rutgers, he taught at the University of Texas at Austin, New York University and Southern Methodist University. Dr. Alles holds a PhD from Stanford Business School and a First Class Honors in Economics from the Australian National University. His research is on continuous auditing, management accounting and corporate governance. He is the editor of the International Journal of Disclosure & Governance, published by Palgrave Macmillan in London, and associate editor of the International Journal of Accounting Information Systems, as well

as serving on the editorial boards of various other governance and AIS journals



Dr. Deniz Appelbaum

Assistant Professor

Montclair State University

Dr. Deniz Appelbaum, Assistant Professor of the Department of Accounting and Finance at the Feliciano School of Business of Montclair State University, received her PhD from the Department of Accounting and Information Systems at Rutgers Business School, Newark in May 2017. She enriches her academic pursuits with a practical view, after twenty years of experience in operations, credit, and business development in the corporate world. Dr. Appelbaum has published manuscripts in Accounting Horizons, Journal of Emerging Technologies in Accounting, Auditing: Journal of Practice and Theory, and in other academic and practitioner journals, based on her research regarding analytics, big data, and automation in financial auditing and fraud detection. Prior to teaching at Montclair State, her graduate research at Rutgers focused on financial fraud detection and prevention with automated analytics, data transparency of governmental financial reporting, analytical procedures in the external audit process, drones and robotics in auditing and accounting, and BlockChain and big data as audit evidence. While at Rutgers, Dr. Appelbaum worked with many large corporations and public entities, developing monitoring and fraud detection systems. Dr. Appelbaum continues to emphasize these streams of research with numerous projects and forthcoming publications, and with presentations at accounting organizations and at national and international conferences. Dr. Appelbaum emphasizes the use of data analytics and appropriate software tools in the classroom, to prepare accounting and auditing students for the technically advanced modern business environment. The accounting and auditing professions are currently



Ami Beers

Senior Director — Assurance and Advisory Innovation

Association of International Certified Professional Accountants

As a Senior Director on the Assurance and Advisory Innovation Team at the Association of Certified Professional Accountants. Ami is responsible for the development of assurance and/or advisory services guidance and criteria, thought leadership, and member and market communications to maximize awareness and adoption of new technologies and techniques that improve the audit as well as assurance and advisory services on business reporting that falls outside the traditional financial statement audit. This includes monitoring trends and developments in market practice and in the technology, regulatory and legislative environments to proactively identify emerging market needs and new service opportunities for members.

Prior to joining the AICPA, Ami served in a number of financial management roles including Controller, Treasurer and VP of Finance at both public and private companies. Ami began her accounting career as an auditor at Ernst & Young, LLP. Ami graduated with a BS in Accounting from Syracuse University.



Gerard (Rod) Brennan

Audit Technologies Director/Educator

LUKKA

Rod is the Audit Technologies Director for Lukka, a trusted, blockchain-native technology company that delivers leading technology and data products for the crypto asset ecosystem. Lukka's products automate and optimize accounting, audit and tax processes for funds, fund administrators, OTC and proprietary trading firms, exchanges, miners, protocols and accounting firms. Dr. Brennan is an audit practitioner, frequent speaker and published researcher on the topic of blockchain, continuous auditing/monitoring/analytics. He is the former Audit Director and North America Risk & Internal Control Officer for Siemens Corporation and an Adjunct Professor in Rutgers University MBA program teaching "Advanced Auditing and Info Technology".

He is excited about helping develop the next generation of automated audit/reporting applications to provide assurance on DLT / Blockchains. He successfully defended his Ph.D. thesis on "The Use of Intelligent Software to Enable Continuous Auditing". The research work included the design and development of an ERP (SAP) continuous auditing software model incorporating some of the latest continuous auditing research concepts. The model was co-developed with Rutgers Universities' Continuous Auditing Research Laboratory (CAR Lab) -- a leading Continuous Auditing research group. Rod continues to speak and do research in the area of automated audit and reporting for applications on DLT / Blockchains.



Eric E. Cohen

Proprietor

Cohen Computer Consulting

Eric is the proprietor of Cohen Computer Consulting, a consultancy focused on emerging accounting and audit technologies, including audit data standards, artificial intelligence (AI) and machine learning, blockchain and distributed ledger technologies, continuous audit, and XBRL. He is a co-founder of XBRL and chief architect of XBRL's Global Ledger Taxonomy Framework (XBRL GL). As an ambassador of XBRL, he has worked in cooperation with virtually every other standards effort working on accounting and audit data; he is Domain Coordinator for the United Nations CEFACCT Accounting and Audit Domain and a US and Canadian national expert to the ISO in accounting data standardization and blockchain technologies. Eric has served on numerous study groups and committees for the AICPA, CPA Canada, and the New York State Society of CPAs; he is chair of the upcoming NYSSCPA Digital Assets Conference. He is a contributing editor and blogger for ThinkTwenty20, a publication about how the future will transform the accounting profession and the professionals working in it.



John D'Agostino

GLOBAL LEADER, INVESTOR ENGAGEMENT

DMS Governance

John D'Agostino is one of the firm's [Fund Governance](#) practice leaders and is based in the New York office. He provides guidance and independent oversight to boards to ensure adherence to governance, regulatory and compliance requirements. John also serves as [Partnership Representative](#) or Designated Individual in connection with US tax filings and audits. His expertise is sophisticated private investment vehicles characterized by complex strategies and asset classes, notably derivatives, structured credit, direct lending, high frequency/quant and similar strategies. John also manages the firm's institutional LP and regulatory relationships and works with large global pension funds and global regulators to share information and determine industry best practices.

Prior to joining DMS, John was a Managing Director of Alkeon Capital, a large New York based investment advisor. His responsibilities included fundamental investment research across industry sectors; global compliance and regulatory management; operations; risk management and marketing. John also led the build out and hiring of a quant/HFT desk.

John previously worked with KPMG Consulting, where he was part of the firm's global leadership for the Finance and Hedge Fund consulting groups. While at KPMG, he provided operational and strategic advisory services across a variety of industries, including: financial services, capital markets, derivatives risk and pricing, commodities (physical and derivatives, technology, ecommerce and retailers.

John began his career as Vice President and Head of Strategy with the New York Mercantile Exchange, where he helped transition the floor to electronic trading and was part of the leadership team building out the core systems to accommodate co-location, quant and HFT volumes. He also led the effort to develop the first Middle East energy derivatives exchange in partnership with the Dubai Government.

A cum laude graduate of Williams College, John received a Master's degree in Business Administration from Harvard Business School, he also studied Politics, Philosophy and Economics at Oxford University. He has lectured at INSEAD University, guests lectures at MIT Sloan Business School and develops research notes with the MIT Media Lab around next generation, technology enabled trading strategies. John has been featured in two New York Times bestselling business titles, multiple periodicals and participated in numerous industry speaking engagements. John leads an asset management working group on Brexit at request of the UK Consul General in NY.



Hüseyin Eroğlu

Auditor

Public Accounting and Auditing Standards Authority, Turkey

Eroglu has received his undergraduate's degree in Marmara University, Faculty of Business Administration in 1990, received his Master at Public Law and completed his Ph.D. Program at Beykent University. Is currently working as Certified Public Accountant, An Auditor at the Public Accounting and Auditing Standards Authority in Turkey, and an Expert. In 2002, he published a book named "Documents in Turkish Tax Legislation" and had published reviews on high court rulings.



M'Shiela Hawthorne

Auditor

North Carolina State University

An auditor with North Carolina State University's Internal Audit Division with five years of auditing experience and over 20 years of accounting experience in various industries, including four years in the United States Air Force. In her role, M'Shiela performs risk-based audits on University business process and operations. This includes auditing for compliance, efficiencies, and effectiveness across various departments at the University. Additionally, she provides data analytic related services and support to the Internal Audit Division and campus units. This includes assisting with data acquisition and analysis during audits and developing tools for campus to use for analyzing their own data. She has a Bachelor of Science Degree in Accounting with a concentration in Internal Audit from NC State University.

Chung-Sheng Li

Managing Director

PwC AI Lab

Chung-Sheng Li is currently a Managing Director at PwC AI Lab with the focus on driving AI augmented assurance. Prior joining PwC, he was with Accenture Operations as the Global Research Managing Director of AI, with the focus on driving the development of new AI-enabled business process service offerings for Accenture Business Process Services from 2016 to 2019. Previously, he has been with IBM Research between 1990 and 2016 with various technical leadership responsibilities.

His career includes driving research and development initiatives spanning cognitive computing, cloud computing, smarter planet, cybersecurity, and cognitive regulatory compliance. He has authored or coauthored more than 100 patents and 170 journal and conference papers (and received the best paper award from IEEE Transactions on Multimedia in 2003). He is a Fellow of the IEEE.

He received BSEE from National Taiwan University, Taiwan, R.O.C., in 1984, and the MS and Ph.D. degrees in electrical engineering and computer science from the University of California, Berkeley, in 1989 and 1991, respectively.

Robert A. Nehmer

Associate Professor

School of Business Administration, Oakland University.

Dr. Nehmer's research interests include formal systems, internal controls, XBRL, natural language, and ontologies. He has numerous publications and presentations in his areas of research. He has published in journals including *Annals of Operations Research*, *Journal of Information Systems*, *International Journal of Disclosure and Governance*, *Journal of Emerging Technologies in Accounting* and *International Journal of the*

Mathematics of Operational Research. He teaches courses in assurance, risk management and accounting information systems to graduate and undergraduate students. He received his doctorate, masters, and bachelor degrees in Accountancy from the University of Illinois - Urbana/Champaign. He is a member of the American Accounting Association, the Information Systems Audit and Control Association, the Object Management Group and the Association for Symbolic Logic.





Joe Oringel

Managing Director

Visual Risk IQ

Joe Oringel is a Managing Director at Visual Risk IQ, a risk advisory firm established in 2006 to help finance and audit professionals see and understand their data. The firm has completed more than 200 successful data analytics and continuous monitoring engagements for clients across diverse industries, including dozens of projects within Higher Education. Visual Risk IQ is a Tableau Alliance partner and IDEA Integration

Partner. Joe has more than twenty-five years of experience in internal auditing, fraud detection, and forensics, including ten years of Big Four assurance and risk advisory services. He earned a BS in Accounting from Louisiana State University, where he took classes in their acclaimed Internal Auditing program. Joe later graduated from The Wharton School at the University of Pennsylvania, where he earned an MBA. He is a Certified Public Accountant and a Certified Internal Auditor and a past president and Board Member of the Charlotte IIA.



Kevin Simon

Product Lead

CaseWare IDEA

Kevin Simon is a product lead at CaseWare IDEA and is the driver behind our cloud product analytics solutions for auditors and financial professionals. He balances technological evolution with industry requirements and customer needs to grow our products into in-demand solutions. Over his career he has evolved from solving technical challenges to managing data and technology to deliver valuable business insights. Kevin's expertise spans various industries and disciplines such as point-of-sale technology, operations management, mobile device management and financial technology.



Alain Soublière

Chief Product Strategist,

CaseWare IDEA

Alain Soublière has many years of experience working with computer audit software. He worked in a senior management role as the IDEA Product Manager for many years before becoming Director of Product strategy for CaseWare IDEA and more recently the Chief Product Strategist. Prior to joining CaseWare, Alain worked for 12 years at the Office of the Auditor General of Canada (OAG) where he conducted IT environment reviews for the Computer Audit Team and assisted other teams in using auditing software. He also participated in the development of IDEA for the Canadian Institute of Chartered Accountants (CICA). Alain Soublière studied Computer Science before obtaining his BA in Accounting and his designation as a Certified General Accountant (CPA, CGA). Due to his extensive knowledge of the industry, Alain is a regular speaker at many IIA, ISACA, ACFE and industry conferences.



Rajendra P. Srivastava

Professor Emeritus of Accounting & Information Systems

School of Business, University of Kansas

Rajendra P. Srivastava is *Professor Emeritus of Accounting & Information Systems* in the School of Business, University of Kansas, Lawrence, USA. He started his career as an Assistant Professor of Physics at BITS, Pilani, India, in 1963, right after graduating from the University of Gorakhpur, UP, India. He has been the *EY Distinguished Professor* in the School of Business, University of Kansas, for 24 years (August 1995 - July 2018), and served as the Director of EY Center for

Auditing Research and Advanced Technology during 1995 - 2015. He holds a Ph.D. in accounting from the University of Oklahoma, Norman (1982) and a Ph.D. in physics from Oregon State University, Corvallis (1972). Professor Srivastava has published over 110 academic articles. His publications have appeared in such prestigious journals as *The Accounting Review*, *Auditing: A Journal of Practice and Theory*, *Decision Support Systems*, *International Journal of Approximate Reasoning*, *Journal of Accounting Research*, *Journal of Management Information Systems*, *Journal of Physics*, *Physical Review*, and many other accounting, AI, and physics journals. He pioneered the application of Dempster-Shafer Theory of Belief Functions to audit judgment and was elected to serve on the Board of the Belief Function Application Society (BFAS) from 2010-2014. He received the 2017 Award for Notable Contribution to AIS Literature from the AIS Section of American Accounting Association, and 1996 Award for Notable Contribution to AI & Expert Systems Research in Accounting from the AI/Emerging Technology Section of the American Accounting Association. He is currently serving as an Editor of *Journal of Information Systems* of the IS Section of the American Accounting Association (AAA). He has also served as an Associate Editor for the following journals: *Journal of Emerging Technologies in Accounting* (JETA), *International Journal of Accounting Information Systems* (IJ AIS), and *Journal of Information Systems* (JIS) in the past. He has been a member of the Editorial and Review Board of several journals including: *The Accounting Review*, *Auditing: A Journal of Practice and Theory*, *Indian Accounting Review*, *International Journal of Auditing*, and *International Journal of Accounting and Information Systems*. Professor Srivastava served as the President/Chairman of the AI/Emerging Technology Section of American Accounting Association during 1994-95. In addition to academic publications, Professor Srivastava's research has resulted into patentable ideas. FRAANK and SeekiNF are the two such technologies. In addition to the expertise in decision making under uncertainty using Dempster-Shafer theory of belief functions, Professor Srivastava has been involved in conducting research on XBRL (Extensible Business Reporting Language) and has published several articles related to this topic. Based on his academic achievements, India International Friendship Society, New Delhi, India, awarded him "Glory of India Award" in 2010. In October 2017, Innovation and Collaboration Division of The University of Kansas awarded him "2017 Baxendale Innovation Award" for the outstanding research, innovation, and contributions to commercialization of KU technology.



Robert M. Willis

Assistant Director, Office of Structured Disclosure

Division of Economic and Risk Analysis

U.S. Securities and Exchange Commission

Mike is a retired PwC partner with public company reporting and audit experience and knowledge of relevant reporting standards, regulations and process and control considerations. He is currently the Assistant Director of the Office of Structured

Disclosure at the U.S. Securities and Exchange Commission. He is responsible for leading the design and implementation of technological processes and tools to support the many structured data needs of the Commission, including the creation, implementation, and maintenance of forms and processes designed to capture structured data from SEC registrants through their filings with the Commission.



Dan Zitting

Chief Customer Experience Officer

Galvanize

Dan Zitting serves as the Chief Customer Experience Officer at Galvanize, a leading provider of SaaS solutions for enterprise governance, risk management, and compliance (GRC) solutions globally. Recognized by both Forrester and Gartner as a category leader, more than 7,000 customer organizations in over 140 countries globally (including 60%+ of Fortune 1000 companies) use Galvanize software to run their organizations

better. Dan's responsibilities at Galvanize include executive leadership for the company's strategy, products, marketing, and customer success.

Dan is dedicated to the advancement of cloud and "big data" technologies for helping corporations and governments perform better while operating with integrity, having been covered as a thought leader in publications including The Wall Street Journal, CFO Magazine, Bloomberg, Reuters, The Street, and CNBC.

Dan has been recognized with multiple prestigious awards including CPA Practice Advisor Magazine's Forty under 40, ColoradoBiz Magazine 25 Most Influential Young Professionals, IIA Emerging Leaders, BCTIA Team of the Year, GRC 20/20 Technology Innovation, and Business in Vancouver Forty under 40.

Prior to Galvanize, Dan spent 10 years in professional services, including four years with the Technology & Security Risk Services practice at Ernst & Young. Following E&Y, he co-founded advisory firm Linford & Company LLP, a provider of GRC consulting services that grew to serving clients across North America, Europe, and Asia.

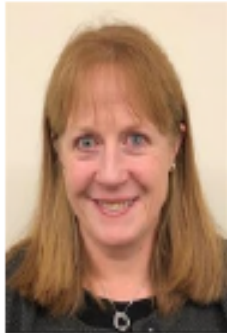
While building his firm, Dan developed a software platform for use by clients, which ultimately led him to leave to found Workpapers.com, the first truly cloud-based audit and compliance management system in the market. Under Dan's leadership, Workpapers.com found strong success and was ultimately acquired by Galvanize in 2011, combining the power of cloud collaboration and "big data" analytics under one market-leading brand.

PCAOB

Public Company Accounting Oversight Board

Megan Zietsman

Chief Auditor and Director of Professional Standards



Megan Zietsman is the PCAOB's Chief Auditor and Director of Professional Standards. In this role, she leads the PCAOB's efforts to set and interpret standards for the audits of domestic and foreign public companies whose securities trade in the U.S., as well as the audits of broker-dealers that are registered with the U.S. Securities and Exchange Commission.

As Chief Auditor, she represents the Board in meetings with the SEC, the Financial Accounting Standards Board, the International Auditing and Assurance Standards Board, and the International Ethics Standards Board for Accountants, among other regulatory and standard-setting organizations. She plays a key role in communicating with investors, auditors, preparers, and audit committees with respect to the matters on the PCAOB's research and standard-setting agendas and the implementation of current standards.

Ms. Zietsman has 30 years of experience in the auditing profession. Prior to joining the PCAOB, she was a Partner in Deloitte & Touche LLP's professional practice network in the U.S., where she was responsible for audit standard-setting, the implementation of new and revised standards and rules, and all talent-related processes and initiatives. Ms. Zietsman began her career with Deloitte in South Africa in 1989 before moving to New York to join Deloitte's International Operations group in 1994 and later the Transaction Services group in 1998.

Ms. Zietsman served on the International Auditing and Assurance Standards Board from 2014 to 2019, most recently as the Deputy Chair. She also served on the American Institute of Certified Public Accountants' Auditing Standards Board from 2007 to 2011. On these two boards, Ms. Zietsman served as a chair or member of many task forces and working groups focused on key initiatives in the auditing profession. Ms. Zietsman is a Certified Public Accountant and holds a Bachelor of Commerce Degree in Accounting with honors from Rhodes University in South Africa.